

# Complexity-Theoretic Stories Around Two Theorems of Schützenberger

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# Languages and Monoids

$\phi : A^* \rightarrow M$  homomorphism

We say  $L \subseteq A^*$  is recognized by  $M$  if there is some subset  $F \subseteq M$  such that  $L = \phi^{-1}(F)$ .

Religion: The algebraic structure of  $M$  and the combinatorial properties of  $L$  are closely related.

Miracle: This works beyond expectations!

# Schützenberger Theorem # 1

## Definition

*Star-Free Languages: Smallest class of languages containing finite sets, closed under boolean operations and concatenation.*

## Definition

*Aperiodic Monoid:  $M$  is aperiodic if no subset of  $M$  forms a non-trivial group*

## Theorem

*$L$  is star-free  $\iff L$  can be recognized by an aperiodic monoid*

## Corollary

*PARITY is not star-free.*

# Generalizing Morphisms to Programs

$$\phi = (\phi_n)_{n \geq 0} : A^* \rightarrow M$$

with  $\phi_n : A^n \rightarrow M$  given by  $(i_1, f_1) \dots (i_s, f_s)$  where  $\begin{cases} 1 \leq i_j \leq n \\ f_j : A \rightarrow M \end{cases}$

$$\phi_n(a_1 \dots a_n) = f_1(a_{i_1}) \dots f_s(a_{i_s})$$

Given  $(F_n)_{n \geq 0}$  with  $F_n \subseteq M$  this set-up recognizes the language  $L \subseteq A^*$  where

$$L \cap A^n = \phi_n^{-1}(F_n) \text{ for each } n$$

# Boolean Circuits

$C = (C_n)$  where  $C_n : A^n \rightarrow \{0, 1\}$  is given by an acyclic directed graph with nodes of in-degree 0 labeled " $x_i = a?$ " for  $1 \leq i \leq n$ ,  $a \in A$ , and nodes of in-degree  $k > 0$  labeled by some  $k$ -ary boolean function.

This set-up recognizes the language  $L \subseteq A^*$  where

$$L \cap A^n = \{\text{strings accepted by the output gate of } C_n\}$$

# Computational Complexity

## Definition

$AC^0$  : Circuits of constant depth, polynomial size, using AND/OR gates of unbounded fan-in

## Theorem

Furst-Saxe-Sipser, Ajtai, Razborov, Smolenksly, Hastad:

$$PARITY \notin AC^0$$

# Closing The Loop

## Theorem

*Barrington-T:  $L \in AC^0 \iff L$  can be recognized by a polynomial-length program over an aperiodic monoid.*

Corollary?  $PARITY \notin AC^0$

# Modular Counting Gates

## Definition

$CC^0$ : Circuits of constant-depth, polynomial size, using modular counting gates (e.g  $MOD_6$ ).

## Theorem

*Barrington-Straubing-T*:  $L \in CC^0 \iff L$  can be recognized by a polynomial-length program over a solvable group

Conjecture:  $AND \notin CC^0$ .

Current state of affairs: It could be that  $CC^0 = NP$ .



# Schützenberger Theorem # 2

## Definition

*Unambiguous Language: Finite disjoint union of languages of the form  $A_0^* a_1 A_1^* \dots a_k A_k^*$  where  $a_i \in A$ ,  $A_i \subseteq A$ , and the concatenation is unambiguous*

## Definition

**DA:**

*monoids  $M$  such that  $(MsM = MtM \text{ and } s = s^2) \implies t = t^2$*

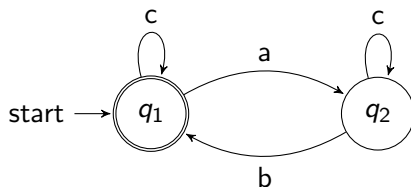
## Theorem

*$L$  is unambiguous  $\iff L$  can be recognized by a monoid in **DA**.*

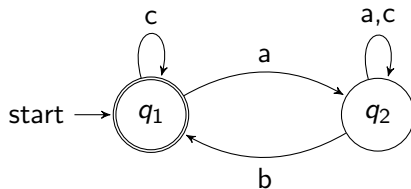
## Schützenberger Theorem # 2

Corollary: neither of the following languages is unambiguous

*Brandt Monoid:*



*Universal Monoid:*



# Computational Look at Monoids

## Definition

*A monoid  $M$  is universal if every language can be recognized by a program over  $M$ , when no restriction on length is imposed.*

*A monoid  $M$  has the polynomial length property (PLP) if any program over  $M$  is equivalent to a program of polynomial length over that monoid.*

Conjecture: A monoid has the polynomial length property  $\iff$  it is not universal

# Special Cases

## Groups:

- ▶ every nilpotent group has *PLP*
- ▶ every non-nilpotent group is universal

## Aperiodic:

- ▶ every monoid in **DA** has *PLP*
- ▶ the universal monoid is universal
- ▶ the Brandt monoid is not universal but is not known to have *PLP*

# The Conjecture

A monoid  $M$  has *PLP*



It belongs to the variety  $\mathbf{DA} * \mathbf{G}$ , and every group in  $M$  is nilpotent.

# Conclusion

Schützenberger has done lots of beautiful mathematics.

His proof of the two theorems outlined here certainly belong to The Book.

He thus lives forever!